

#5 IDS

FORM PTO-1449 (Modified)  
 LIST OF PATENTS AND PUBLICATIONS  
 FOR APPLICANT'S INFORMATION  
 DISCLOSURE STATEMENT

APR 23 2001

ATTY DOCKET NO: SMP0179US02

Serial No: 09/655,232

APPLICANT: KUME

(Use several sheets if necessary)

FILING DATE: 09/05/2000

Group Art Unit: 3763 UNKNOWN

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ATW	4,040,413	8/1977	OHSHIRO			
	4,224,929	9/1980	FURIHATA			
	4,331,132	5/1982	MUKASA			
	4,336,809	6/1982	CLARK			
	4,445,892	5/1984	HUSSEIN et al.			
	4,470,407	9/1984	HUSSEIN			
	4,512,762	4/1985	SPEARS			
	* 4,607,635	8/1986	HEYDEN			
	4,619,247	10/1986	INONE et al.			
	4,676,231	6/1987	HISAZUMI et al.			
	4,681,093	7/1987	ONO et al.			
	B1 4,733,665	1/1994	PALMAZ			
	4,762,120	8/1988	HUSSEIN			
	4,770,653	9/1988	SHTURMAN			
	4,773,899	9/1988	SPEARS			
✓	4,779,611	10/1988	GROOTERS et al.			

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
ATW	90/00914	2/1990	PCT				
	92/07623	5/1992	PCT				
✓	95/07667	3/1995	PCT				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

ATW		"Lasers in Cardiovascular Medicine and Surgery: Fundamentals and Techniques," G. Abela, M.D., Kluwer Academic Publishers, pp. 399-422, 1990.
ATW		"More Brightness for Excellence in Intraluminal Diagnostics!" 8 pg. MASY brochure from AD. KRAUTH GmbH & Co.

EXAMINER: *R. Gange*

DATE CONSIDERED: *2/3/02*

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APR 23 2001 PATENT & TRADEMARK OFFICE		ATTY DOCKET NO: SMP0179US02 Serial No: 09/655,232 APPLICANT: KUME FILING DATE: 09/05/2000 Group Art Unit: 3763 UNKNOWN			
<b>REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS</b>							
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
APR		4,782,818	11/1988	MORI			
		4,784,133	11/1988	MACKIN			
		4,795,458	1/1989	REGAN			
		4,799,479	1/1989	SPEARS			
		4,808,164	2/1989	HESS			
	*	4,850,348	7/1989	PELL et al.			
		4,852,567	8/1989	SINOFISKY			
		4,862,874	9/1989	KELLNER			
		4,878,492	11/1989	SINOFISKY et al.			
		4,878,725	11/1989	HESSSEL et al.			
		4,886,496	12/1989	CONOSCENTI et al.			
		4,892,099	1/1990	OHKAWA et al.			
		4,917,084	4/1990	SINOFISKY			
		4,921,484	5/1990	HILLSTEAD			
		4,927,413	5/1990	HESS			
		4,950,266	8/1990	SINOFISKY			
		4,961,738	10/1990	MACKIN			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES    I    NO
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER <i>[Signature]</i>				DATE CONSIDERED 12/3/02			
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LIST OF PATENTS AND PUBLICATIONS

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DISCLOSURE STATEMENT

(Use several sheets if necessary)

PRIORITY DOCKET NO: SMP0179US02

Serial No: 09/655,232

APPLICANT: KUME

FILING DATE: 09/05/2000

Group Art Unit: UNKNOWN

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ATA	4,976,710	12/1990	MACKIN			
	4,994,060	2/1991	RINK et al.			
	5,007,898	4/1991	ROSENBLUTH et al.			
	5,019,075	5/1991	SPEARS et al.			
	5,029,574	7/1991	SHIMAMURA et al.			
	5,029,588	7/1991	YOCK et al.			
	5,030,227	7/1991	ROSENBLUTH et al.			
	5,036,463	7/1991	ABELA et al.			
	5,042,980	8/1991	BAKER et al.			
	5,071,417	12/1991	SINOFSKY			
	5,071,429	12/1991	PINCHUK et al.			
	5,078,681	1/1992	KAWASHIMA			
	5,090,959	2/1992	SAMSON et al.			
	5,092,841	3/1992	SPEARS			
	5,100,429	3/1992	SINOFSKY et al.			

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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES I NO

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

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**REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS**

EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ATN	5,103,804	4/1992	ABELE et al.			
	5,116,317	5/1992	CARSON, Jr. et al.			
	5,117,831	6/1992	JANG et al.			
	5,125,925	6/1992	LUNDAHL			
	5,152,277	10/1992	HONDA et al.			
	5,159,920	11/1992	CONDON et al.			
	5,163,935	11/1992	BLACK et al.			
	5,163,950	11/1992	PINCHUK et al.			
	5,167,233	12/1992	EBERLE et al.			
	5,188,596	2/1993	CONDON et al.			
	5,196,004	3/1993	SINOFKY			
	5,196,005	3/1993	DOIRON et al.			
	5,199,951	4/1993	SPEARS			
	5,201,317	4/1993	KANAZAWA et al.			
	5,203,337	4/1993	FELDMAN			
	5,207,669	5/1993	BAKER et al.			
	5,209,748	5/1993	DAIKUZONO			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES    I    NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Goye</i>	DATE CONSIDERED <i>12/3/02</i>
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		APPLICANT: KUME			
		FILING DATE: 09/05/2000		Group Art Unit: UNKNOWN	

  

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ATN		5,213,580	5/1993	SLEPIAN et al.			
		5,242,438	9/1993	SAADATMANESH et al.			
		5,248,311	9/1993	BLACK et al.			
		5,254,112	10/1993	SINOFSKY et al.			
		5,257,970	11/1993	DOUGHERTY			
		5,263,928	11/1993	TRAUTHEN et al.			
		5,292,305	3/1994	BOUDEWIJN et al.			
		5,293,872	3/1994	ALFANO et al.			
	*	B1 4,581,017	5/1994	SAHOTA			
		5,330,467	7/1994	ABELA			
		5,344,419	9/1994	SPEARS			
		5,370,608	12/1994	SAHOTA et al.			
		5,409,483	4/1995	CAMPBELL et al.			
		5,417,653	5/1995	SAHOTA et al.			
	*	5,456,661	10/1995	NARCISO, JR.			
		Re. 34,544	2/1994	SPEARS			

  

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO

  

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